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HYNIX SEMICONDUCTOR DEUTSCHLAND GmbH

**UNITED STATES DISTRICT COURT**  
**FOR THE NORTHERN DISTRICT OF CALIFORNIA**  
**SAN JOSE DIVISION**

HYNIX SEMICONDUCTOR INC., HYNIX  
SEMICONDUCTOR AMERICA, INC.,  
HYNIX SEMICONDUCTOR U.K. LTD. and  
HYNIX SEMICONDUCTOR  
DEUTSCHLAND GmbH,

Plaintiffs,

v.

RAMBUS, INC.,

Case No. CV 00-20905 RMW

**HYNIX' PRELIMINARY  
INVALIDITY CONTENTIONS**

1  
2 Defendant.  
3  
4 RAMBUS, INC.,  
5 Counterclaimant,  
6 v.  
7 HYNIX SEMICONDUCTOR INC., HYNIX  
8 SEMICONDUCTOR AMERICA, INC.,  
9 HYNIX SEMICONDUCTOR U.K. LTD. and  
10 HYNIX SEMICONDUCTOR  
11 DEUTSCHLAND GmbH,  
12 Counterdefendants.  
13

14 Pursuant to Rules 3-3 and 3-4 of the Patent Local Rules, Plaintiffs Hynix Semiconductor Inc.,  
15 Hynix Semiconductor America Inc., Hynix Semiconductor U.K. LTD., and Hynix Semiconductor  
16 Deutschland GmbH (collectively "Hynix") hereby make their Preliminary Invalidity Contentions in  
17 response to Defendant Rambus, Inc.'s ("Rambus") Disclosure of Asserted Claims. Hynix has  
18 presented its invalidity contentions basued upon Rambus' apparent claim interpretations and the claim  
19 construction Hynix will present to the Court.  
20

21 These contentions are made without prejudice to Hynix' right to obtain and present at trial or  
22 in pretrial proceedings such additional information as may be acquired through discovery or otherwise  
23 in this action. Specifically, Hynix bases its present invalidity contentions on Rambus' Preliminary  
24 Infringement Contentions and Disclosure of Asserted Claims. If the Court permits Rambus, on  
25 motion to, assert different representative claims, Hynix reserves the right to supplement its invalidity  
26 contentions. Formal discovery is on-going and Hynix' investigation is still underway. Moreover, the  
27 scope of prior art relevant in this case may be further altered or defined by the Court's ruling on claim  
28 construction. Hynix, therefore, reserves the right to modify or add to this list as provided under the  
Patent Local Rules.

1 **I. ASSERTED CLAIMS**

2 Rambus' Disclosure of Asserted Claims identified the following claims from nine of the  
3 eleven patents-in-suit.<sup>1</sup>

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<u>U.S. Patent Number</u>		<u>Asserted Claims</u>
5 5,915,105 ("the '105 patent")	Glenn	31, 34, 35, 40
6 5,953,263 ("the '263 patent")	Tan	1, 2, 3, 4
7 5,995,443 ("the '443 patent")	Tan	1, 3, 6
8 6,032,214 ("the '214 patent")	Glenn	15, 18, 25, 26
9 6,034,918 ("the '918 patent")		18, 24, 33
10 6,035,365 ("the '365 patent")		1, 4
11 6,038,195 ("the '195 patent")		11, 17, 18, 19
12 6,067,592 ("the '592 patent")		35, 38
13 6,101,152 ("the '152 patent")		12, 14, 16

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18 <sup>1</sup> Rambus' Disclosure Of Asserted Claims did not identify any representative claims from the  
19 '215 or '804 patents. By letter of counsel, Rambus later attempted to cure this omission by informally  
20 identifying claim 4 of the '365 patent and claim 3 of the '265 patent as representative of the '804  
patent and claims 1, 3 and 6 of the '443 patent as representative of the '215 patent.

21 Rambus' Disclosure Of Asserted Claims also listed claims from a pending Rambus patent  
22 application that has not issued as a patent. On September 21, 2001, Rambus informally notified Hynix  
23 of its intention to substitute claims from two additional pending patent applications for asserted claims  
24 of the '105, '214 and '263 patents. Since these applications have yet to issue as patents and are not  
included in Rambus' counterclaim, the applications have no legal significance within this litigation.  
See *GAF Building Materials Corp. v. Elk Corp. of Dallas*, 90 F.3d 479, 483 (Fed. Cir. 1996); 35  
U.S.C. § 154(a)(2); 35 U.S.C. § 271(a)] Accordingly, Hynix is not making any contentions regarding  
such claims at this time.

25 Hynix is only making invalidity contentions for the representation claims originally disclosed  
26 in Rambus' Disclosure of Asserted Claims. Hynix, however, reserves the right to amend or  
supplement its Preliminary Invalidity Contentions as permitted on motion to the Court.

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3 **II. PRIOR ART REFERENCES—Patent Local Rule 3-3(a)**

4 Pursuant to Patent Local Rule 3-3(a), Exhibit A identifies the prior art references in support of  
5 Hynix' Preliminary Invalidity Contentions.

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**III. ANTICIPATION OR OBVIOUSNESS—Patent Local Rule 3-3(b)**

Pursuant to Patent Local Rule 3-3(b), Hynix identifies the following prior art references in support of its preliminary invalidity contentions. Where anticipation is indicated, reference is made to individual prior art references. For obviousness, Hynix has listed combinations of references. The motivation to combine references for obviousness are set forth in the invalidity claim charts. To the extent that any references are not held to be anticipatory, Hynix contends that the representative claims are obvious in view of such references.

1. The '105 Patent Invalidity Contentions		
Claims	Anticipated By References	Obvious In View Of Reference Combinations
31	<ul style="list-style-type: none"><li>* Gigabit Logic</li><li>* Redwine</li><li>* Aichelmann</li><li>* Tam</li><li>* Yoshimoto</li><li>* Fischer</li><li>* SCI 1</li><li>* Bajwa</li><li>* Siemens PIP</li><li>* Ono</li><li>* Pelgrom (9/86)</li><li>* Pelgrom (6/87)</li><li>* Higuchi</li><li>* Ohno</li></ul>	
34[31]	<ul style="list-style-type: none"><li>* SCI 1</li><li>* Siemens PIP</li><li>* Pelgrom (9/86)</li><li>* Pelgrom (6/87)</li></ul>	<ul style="list-style-type: none"><li>* Gigabit Logic in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</li><li>* Redwine in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</li><li>* Aichelmann in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR</li></ul>

		1. The '105 Patent Invalidity Contentions
		<p>Uvieghara.</p> <p>Tam in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR; Uvieghara.</p> <p>* Yoshimoto in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</p> <p>* Ono OR Higuchi OR Ohno in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara</p> <p>* Fischer in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</p>
35[34]	<p>* Higuchi</p> <p>* SCI 1</p>	<p>* Gigabit Logic in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</p> <p>* Redwine in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</p> <p>* Aichelmann in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</p> <p>* Tam in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</p> <p>* Yoshimoto in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</p> <p>* Fischer AND Redwine in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</p> <p>* SCI 1 in combination with any of the following: Gigabit Logic; Redwine; Aichelmann; Tam; Yoshimoto; OR Higuchi.</p> <p>* Siemens PIP in combination with any of the following: Gigabit Logic; Redwine; Aichelmann; Tam; Yoshimoto; OR Higuchi.</p>
40[36]	* SCI 1	<p>* Gigabit Logic in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</p> <p>* Yoshimoto in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR</p>



1. The '105 Patent Invalidity Contentions		
		<p>Uvieghara.</p> <p>* Fischer in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</p> <p>* Siemens PIP in combination with any of the following: Gigabit Logic; Redwine; Aichelmann; Tam; Yoshimoto; OR Higuchi.</p> <p>* Ono or Ohno in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</p>

2. The '263 Patent Invalidity Contentions		
Claims	Anticipated By References	Obvious In View Of Reference Combinations
1	<ul style="list-style-type: none"> <li>* Yamaguchi</li> <li>* Saccardi</li> <li>* Kumagai</li> <li>* Fischer</li> <li>* Siemens PIP</li> <li>* Kanopoulos</li> <li>* Miller</li> <li>* Pelgrom (9/86)</li> <li>* Pelgrom (6/87)</li> <li>* SCI 1</li> </ul>	<p>* Fischer in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</p> <p>* Ohno in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; Kumagai; OR Bajwa.</p> <p>* SCI 1 in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</p>
2[1]	<ul style="list-style-type: none"> <li>* Yamaguchi</li> <li>* Saccardi</li> <li>* Kumagai</li> <li>* Siemens PIP</li> <li>* Kanopoulos</li> <li>* Miller</li> <li>* Ohno</li> <li>* SCI 1</li> </ul>	<p>* Pelgrom (9/86) OR Pelgrom (6/87) with Yamaguchi</p> <p>* Fischer in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</p> <p>* SCI 1 in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</p>
3[2]	<ul style="list-style-type: none"> <li>* Yamaguchi</li> <li>* Saccardi</li> <li>* Kumagai</li> </ul>	<p>* Fischer in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</p> <p>* SCI 1 in combination with any of the following:</p>

2. The '263 Patent Invalidity Contentions		
	<ul style="list-style-type: none"> <li>* Fischer</li> <li>* Siemens PIP</li> <li>* Kanopoulos</li> <li>* Miller</li> <li>* Pelgrom (9/86)</li> <li>* Pelgrom (6/87)</li> <li>* Ohno</li> <li>* SCI 1</li> </ul>	Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.
4[1]	<ul style="list-style-type: none"> <li>* Yamaguchi</li> <li>* Saccardi</li> <li>* Kumagai</li> <li>* Fischer</li> <li>* Siemens PIP</li> <li>* Kanopoulos</li> <li>* Miller</li> <li>* Pelgrom (9/86)</li> <li>* Pelgrom (6/87)</li> <li>* SCI 1</li> </ul>	<ul style="list-style-type: none"> <li>* Fischer in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</li> <li>* SCI 1 in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</li> </ul>

3. The '443 Patent Invalidity Contentions		
Claims	Anticipated By References	Obvious In View Of Reference Combinations
1	<ul style="list-style-type: none"> <li>* Watanabe</li> <li>* Ohta</li> <li>* SCI 1</li> </ul>	<ul style="list-style-type: none"> <li>* Fischer in combination with any of the following: Watanabe; Yamaguchi; Redwine; OR Novak.</li> <li>* Siemens PIP in combination with any of the following: Watanabe; Yamaguchi; Redwine; OR Novak.</li> </ul>
3[1]	<ul style="list-style-type: none"> <li>* SCI 1</li> </ul>	<ul style="list-style-type: none"> <li>* Watanabe in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</li> <li>* Ohta in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</li> </ul>



3. The '443 Patent Invalidity Contentions		
		<p>* Fischer in combination with any of the following: Watanabe; Yamaguchi; Redwine; OR Novak, AND in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR; Uvieghara; OR Flora</p> <p>* Siemens PIP in combination with any of the following: Watanabe; Yamaguchi; Redwine; OR Novak.</p>
6[1]		<p>* Fischer in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</p> <p>* SCI 1 in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</p> <p>* Siemens PIP in combination with any of the following: Watanabe; Yamaguchi; Redwine; OR Novak.</p>

4. The '214 Patent Invalidity Contentions		
Claims	Anticipated By References	Obvious In View Of Reference Combinations
15	<p>* Yamaguchi</p> <p>* Fischer</p> <p>* SCI 1</p> <p>* Siemens PIP</p> <p>* Miller</p> <p>* Pelgrom (9/86)</p> <p>* Pelgrom (6/87)</p>	
18[15]	<p>* Fischer</p> <p>* Siemens PIP</p> <p>* Miller</p> <p>*Pelgrom (9/86)</p> <p>*Pelgrom (6/87)</p> <p>* SCI 1</p>	<p>* Fischer in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</p> <p>* SCI 1 in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</p>

4. The '214 Patent Invalidity Contentions		
25[15]	<ul style="list-style-type: none"> <li>* Fischer</li> <li>* Pelgrom (9/86)</li> <li>* SCI 1</li> <li>* Pelgom (6/87)</li> <li>* Siemens PIP</li> </ul>	
26[25]	<ul style="list-style-type: none"> <li>* SCI 1</li> <li>* Pelgrom (9/86)</li> <li>* Siemens PIP</li> <li>* Pelgrom (6/87)</li> </ul>	<ul style="list-style-type: none"> <li>* Fischer in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</li> </ul>

5. The '918 Patent Invalidity Contentions		
Claims	Anticipated By References	Obvious In View Of Reference Combinations
18	<ul style="list-style-type: none"> <li>* Fischer</li> <li>* SCI 1</li> <li>* Pelgrom (6/87)</li> <li>* Siemens PIP</li> <li>* Kanopoulos</li> <li>* Taguchi</li> <li>* Miller</li> <li>* Pelgrom (9/86)</li> </ul>	
24[18]	<ul style="list-style-type: none"> <li>* Fischer</li> <li>* Siemens PIP</li> <li>* Kanopoulos</li> <li>* Miller</li> <li>* Pelgrom (9/86)</li> <li>* Pelgrom (6/87)</li> <li>* SCI 1</li> </ul>	<ul style="list-style-type: none"> <li>* Fischer in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</li> <li>* Taguchi with any of the following: Rau, Kawamasa, Hasegawa, Hasegawa, Saccardi, Kumagai, OR Bajwa</li> <li>* SCI 1 in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</li> </ul>
33[18]	<ul style="list-style-type: none"> <li>* Siemens PIP</li> </ul>	<ul style="list-style-type: none"> <li>* Fischer in combination with any of the following:</li> </ul>

5. The '918 Patent Invalidity Contentions		
	<ul style="list-style-type: none"> <li>* Pelgrom (9/86)</li> <li>* Pelgrom (6/87)</li> <li>* SCI 1</li> </ul>	<p>Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</p> <p>SCI 1 in combination with any of the following: Kawamasa; Hasegawa; Saccardi; OR Kumagai.</p> <p>Kanopoulos or Taguchi or Miller in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</p>

6. The '365 Patent Invalidity Contentions		
Claims	Anticipated By References	Obvious In View Of Reference Combinations
1	<ul style="list-style-type: none"> <li>* Fischer</li> <li>* Siemens PIP</li> <li>* Kanopoulos</li> <li>* Miller</li> <li>* Pelgrom (9/86)</li> <li>* Pelgrom (6/87)</li> <li>*SCI 1</li> </ul>	<ul style="list-style-type: none"> <li>* Fischer in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</li> <li>* SCI 1 in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</li> <li>* Ohno with Rau; Kawamasa; Hasegawa; Saccardi; Kumagai; OR Bajwa.</li> </ul>
4[1]	<ul style="list-style-type: none"> <li>* Siemens PIP</li> <li>* Pelgrom (9/86)</li> <li>* Pelgrom (6/87)</li> <li>* SCI 1</li> </ul>	<ul style="list-style-type: none"> <li>* Fischer in combination any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</li> <li>* Fischer in combination any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai, AND in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</li> <li>* SCI 1 in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</li> <li>* Kanopoulos or Miller or Ohno in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</li> </ul>

7. The '195 Patent Invalidity Contentions
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## 7. The '195 Patent Invalidity Contentions

Claims	Anticipated By References	Obvious In View Of Reference Combinations
11	<ul style="list-style-type: none"> <li>* Saccardi</li> <li>* Yamaguchi</li> <li>* Kumagai</li> <li>* Fischer</li> <li>* Siemens PIP</li> <li>* Kanopoulos</li> <li>* Miller</li> <li>* Pelgrom (9/86)</li> <li>* Pelgrom (6/87)</li> <li>* SCI 1</li> </ul>	<ul style="list-style-type: none"> <li>* Fischer in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</li> <li>* Ohno in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; Kumagai; OR Bajwa.</li> <li>* SCI 1 in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</li> </ul>
17[11]	<ul style="list-style-type: none"> <li>* Saccardi</li> <li>* Yamaguchi</li> <li>* Kumagai</li> <li>* Fischer</li> <li>* Siemens PIP</li> <li>* SCI 1</li> </ul>	<ul style="list-style-type: none"> <li>* Fischer in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</li> <li>* SCI 1 in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</li> </ul>
18[17]	<ul style="list-style-type: none"> <li>* Saccardi</li> <li>* Yamaguchi</li> <li>* Kumagai</li> <li>* Fischer</li> <li>* Siemens PIP</li> <li>* Kanopoulos</li> <li>* Miller</li> <li>* Pelgrom (9/86)</li> <li>* Pelgrom (6/87)</li> <li>* SCI 1</li> </ul>	<ul style="list-style-type: none"> <li>* Fischer in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</li> <li>* Ohno with Rau</li> <li>* SCI 1 in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</li> </ul>
19[17]	<ul style="list-style-type: none"> <li>* Fischer</li> <li>* Siemens PIP</li> <li>SCI 1</li> </ul>	<ul style="list-style-type: none"> <li>* Saccardi in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</li> <li>* Pelgrom (9/86) with Yamaguchi.</li> <li>* Pelgrom (6/87) with Yamaguchi.</li> </ul>

7. The '195 Patent Invalidity Contentions		
		<p>* Yamaguchi in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</p> <p>* Kumagai in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</p> <p>* Fischer in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai, AND in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</p> <p>* SCI 1 in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</p> <p>* Kanopoulos OR Miller OR Ohno with Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</p>

8. The '592 Patent Invalidity Contentions		
Claims	Anticipated By References	Obvious In View Of Reference Combinations
35	<p>* Saccardi</p> <p>* Yamaguchi</p> <p>* Kumagai</p> <p>* Fischer</p> <p>* Siemens PIP</p> <p>* Kanopoulos</p> <p>* Miller</p> <p>* Pelgrom (9/86)</p> <p>* Pelgrom (6/87)</p> <p>* SCI 1</p>	<p>* Fischer in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</p> <p>* Ohno with Rau; Kawamasa; Hasegawa; Saccardi; Kumagai; OR Bajwa.</p> <p>* SCI 1 in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</p>
38[35]	<p>* Siemens PIP</p> <p>* SCI 1</p>	<p>* Saccardi in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</p> <p>* Pelgrom (9/86) with Yamaguchi.</p> <p>* Pelgrom (6/87) with Yamaguchi.</p>



## 8. The '592 Patent Invalidity Contentions

		<p>* Yamaguchi in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</p> <p>* Kumagai in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</p> <p>* Fischer in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</p> <p>* Fischer in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai, AND in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.</p> <p>* SCI 1 in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</p>
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## 9. The '152 Patent Invalidity Contentions

Claims	Anticipated By References	Obvious In View Of Reference Combinations
12[11]	<p>* Saccardi</p> <p>* Yamaguchi</p> <p>* Kumagai</p> <p>* Fischer</p> <p>* Siemens PIP</p> <p>* Kanopoulos</p> <p>* Miller</p> <p>* Pelgrom (9/86)</p> <p>* Pelgrom (6/87)</p> <p>* SCI 1</p>	<p>* Fischer in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</p> <p>* SCI 1 in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</p> <p>* Ohno in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; Kumagai; OR Bajwa.</p>
14[11]	<p>* Yamaguchi</p> <p>* Kumagai</p> <p>* Fischer</p> <p>* Siemens PIP</p> <p>* Kanopoulos</p>	<p>* Fischer in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.</p> <p>* SCI 1 in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR</p>



	9. The '152 Patent Invalidity Contentions	
	* Miller * Pelgrom (9/86) * Pelgrom (6/87) * SCI 1	Kumagai.
16[11]	* Fischer * Siemens PIP * Pelgrom (9/86) * Pelgrom (6/87) * SCI 1	* Saccardi in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.  * Yamaguchi in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.  * Kumagai in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.  * Fischer in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.  * Fischer in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai, AND in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.  * SCI 1 in combination with any of the following: Rau; Kawamasa; Hasegawa; Saccardi; OR Kumagai.  * Kanopoulos OR Miller OR Ohno in combination with any of the following: Wiggers; Grover; Lofgren; Flora; OR Uvieghara.

Hynix also identifies the following additional references in support of its invalidity contentions:

- Each of Kawamasa, Hasegawa, Taguri, and Mattausch references discloses every element of the following asserted claims:  
 '263 - 1, 2, 3, 4  
 '195 - 11, 17, 18  
 '592 - 35  
 '152 - 12, and

1 Each of the references in (1) in combination with one or more of **Grover, Wiggers,**  
2 **Lofgren, Flora, and Masuda** discloses every element of the following asserted claims:

3 '195 - 19

4 '592 - 38

5 '152 - 16, and

- 6 • Each of the references in (1) in combination with **Iqbal, Ohno, Ono, and Poon** discloses  
7 every element of the following asserted claims:

8 '365 - 1

9 '152 - 14

- 10 • **Fujitsu 86-87 data book - MB81461-12, -15** starting at page 1-102 discloses every  
11 element of the following asserted claims:

12 '105 - 31, 40

13 '214 - 15

14 '918 - 18

- 15 • Each of the references in (4) in combination with **Cydra 5** discloses every element of the  
16 following asserted claims:

17 '214 - 18

18 '918 -24

- 19 • **Advanced Micro Devices 86 data book - AM90C644** starting at page 4-143 discloses  
20 every element of the following asserted claims:

21 '105 - 31, 40

22 '214 - 15

23 '918 - 18, and

- 24 • Each of the references in (6) in combination with **Cydra 5** discloses every element of the  
25 following asserted claims:

26 '214 - 18

27 '918 -24

1 **IV. INVALIDITY CLAIM CHARTS—Patent Local Rule 3-3(c)**

2 Pursuant to Patent Local Rule 3-3(c), attached are the following invalidity claim charts. The  
3 charts are organized by comparing each primary prior reference against the asserted claims.

<u>Chart Number</u>	<u>Primary Prior Art Reference</u>
1	Siemens PIP
2	SCI 1
3	Fischer
4	Bajwa
5	Yamaguchi
6	Saccardi
7	Kumagai
8	Gigabit Logic
9	Watanabe
10	Redwine
11	Aichelmann
12	Yoshimoto
13	Tam
14	Ohta
15	Chin
16	Penzel
17	Ong
18	Ebbers
19	Kanopoulus
20	Taguchi
21	Miller
22	Novak
23	Ono

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<u>Chart Number</u>	<u>Primary Prior Art Reference</u>
24	Pelgrom (9/86)
25	Pelgrom (6/87)
26	Higuchi
27	Ohno
28	Iqbal
29	SCI 2

///

///

///

1 **V. DEFENSES BASED UPON 35 U.S.C. § 112(1) & (2)—Patent Local Rule 3-3(d)**

2 Local Rule 3.3(d) Contentions

3  
4 **A. INVALIDITY UNDER 35 U.S.C. §112(1)**

5 Hynix contends that the following of the representative claims of the patents in suit are invalid  
6 for failure to meet the requirements of 35 U.S.C. § 112, paragraph 1:

7 Local Rule 3.3(d) Contentions '105 patent, claims 34, 35, 40;  
8 '443 patent, claims 1, 3, 6;  
9 '214 patent, claim 26;  
10 '918 patent, claim 33.  
11 '195 patent, claim 19;  
12 '365 patent, claim 1;  
13 '592 patent, claim 38; and  
14 '152 patent, claim 16.

15 1. Claims 1,3 And 6 of '443 Patent – 35 U.S.C. §112(1) (Written Description)

16 Claims 1, 3, and 6 of the '443 patent include the following limitations of claim 1 of this patent:

17 a first subarray section having a first internal I/O line to access data from a first  
18 memory cell location and a second internal I/O line to access data from a  
19 second memory cell location, wherein the first and second memory cell locations  
20 are in the first subarray section;

21 a second subarray section having a first internal I/O line to access data from a  
22 third memory cell location and a second internal I/O line to access data from a  
23 fourth memory cell location, wherein the third and fourth memory cell locations  
24 are in the second subarray section;

25 the multiplexer circuitry couples the first internal I/O line of the first subarray  
26 section to an input of the first output driver and couples the first internal I/O line  
27 of the second subarray section to an input of the second output driver in response  
28 to the clock edge of the first internal clock signal; and

the multiplexer circuitry couples the second internal I/O line of the first subarray  
section to an input of the first output driver and couples the second internal I/O  
line of the second subarray section to an input of the second output driver in  
response to the clock edge of the second internal clock signal.

The specification of the '443 patent discloses "three different techniques to provide the  
additional internal I/O line required and to supply data to memory cells at this rate." '443 patent, col.

1 24:3-31, referencing Figs. 10, 15, and 17. None of these three techniques, and no other disclosure of  
2 the '443 patent, includes any description or disclosure of the particular arrangement specifically  
3 recited in the claims of the '443 patent, or any disclosure of which and how many cells and cell  
4 locations are routed to each of at least two output drivers, or the recited operation of the multiplexer in  
5 response to first and second internal clock signal edges. Accordingly, claim 1 of the '443 patent, and  
6 claims 3 and 6 which are dependent on and incorporate all of the limitations of claim 1, are invalid for  
7 failure to comply with the written description requirement of 35 U.S.C. § 112, paragraph 1.

8  
9 2. Claim 1 Of The '443 Patent – 35 U.S.C. §112(1) (Written Description)

10 Claims 1 of the '443 patent also recites:

11 clock generation circuitry, coupled to the clock receiver circuitry, to generate a  
12 first internal clock signal having a clock edge which is synchronized with the  
13 external clock signal and to generate a second internal clock signal having a clock  
14 edge which is synchronized with the external clock signal;

15 The specification of the '443 patent does not disclose the generation of any internal clock  
16 signals which are “synchronized” with an external clock signal. The only disclosure of internal clock  
17 signals (which are used to operate a multiplexer, as also required by the claim) disclosed in the  
18 specification of the '443 patent are internal clock signals 73 and 74, which has a clock edge one  
19 receiver delay before the midpoint between the edges of two external clock signals. This midpoint is  
20 not synchronized to the clocked edges of either external clock; therefore, the internal clocks are not  
21 synchronized to any external clock. For this reason also, Claim 1 of the '443 patent, and claims 3 and  
22 6 which are dependent on and incorporate all of the limitations of claim 1, are invalid for failure to  
23 comply with the written description requirement of 35 U.S.C. § 112, paragraph 1.

24 3. “Delay Locked Loop” – 35 U.S.C. §112(1) (Written Description)

25 The following claims each recite a delay locked loop:

26 '105 patent, claims 34, 35, 40;  
27 '443 patent, claims 1, 3, 6;  
28 '214 patent, claim 26;  
'918 patent, claim 33.  
'195 patent, claim 19;  
'365 patent, claim 1; and



1 '592 patent, claim 38.

2 There is no disclosure in the specifications or drawings, as originally filed, of any of the  
3 patents in suit of anything that would have been understood by one of skill in the art at the time the  
4 invention was made as a "delay locked loop, or even as a "phase locked loop." Neither the term  
5 "delay locked loop" nor the term "phase locked loop" was even introduced into the claims of any of  
6 the applications for the patents in suit (or related applications) until several years after the original  
7 application for the patents in suit was filed, in April, 1990. No similar or analogous terminology was  
8 used in the original application for the patents in suit, and none of the applications leading up to the  
9 issuance of the patents in suit actually discloses any circuit or feature that would have been understood  
10 by one of skill in the art at the time the invention was made as constituting a delay locked loop.  
11 Therefore, these claims are invalid as failing to meet the written description requirement of 35 U.S.C.  
12 § 112, paragraph 1.

13  
14 4. "Delay Locked Loop" – 35 U.S.C. §112(1) (Enablement)

15 (Enablement) The following claims each recite a delay locked loop:

16 '105 patent, claims 34, 35, 40;  
17 '443 patent, claims 1, 3, 6;  
18 '214 patent, claim 26;  
19 '918 patent, claim 33;  
20 '195 patent, claim 19;  
'365 patent, claim 1; and  
'592 patent, claim 38.

21 Even assuming that the applications for the patents in suit disclose a delay locked loop, the  
22 disclosures of a delay locked loop in the patents in suit (all of which are substantially the same for the  
23 present purposes) are insufficient to meet the requirements of 35 U.S.C. § 112, paragraph 1. Figures  
24 12 and 13 and their attendant description contain only a high level discussion of the objectives to be  
25 achieved by the circuit shown in block diagram in Figure 12. No detail of the circuitry proposed to be  
26 included in any of the delay line blocks shown in Figure 12 is disclosed. No instructions or  
27 descriptions are provided as to how to design or operate the circuits represented by blocks in Figure  
28 12, including the blocks designated "filter" and delay lines 103, 104, 105, and 106. Given the level of

1 difficulty, at the time the original application was filed (April, 1990), of designing operable phase- or  
2 delay- locked loops, particularly that could operate in connection with the bus clock frequencies  
3 disclosed by the inventors (250 MHz), the disclosure is insufficient to enable one of ordinary skill at  
4 the time the invention was made to make and use the invention claimed in the above claims without  
5 undue experimentation.

6  
7 **B. INVALIDITY DEFENSES UNDER 35 U.S.C. §112(2)**

8 Hynix contends that the following of the representative claims of the patents in suit are invalid  
9 for failure to comply with the requirements of 35 U.S.C. § 112, paragraph 2:

10 '214 patent, claim 18;  
11 '105 patent, claim 35; and  
12 '195 patent, claim 19.

13 1. Claim 18 of The '214 Patent – 35 U.S.C. §112(2)

14 Claim 18 of the '214 patent, and particularly the term

15 “the code being representative of a number of clock cycles of the first and second  
16 external clock signals to transpire before data is output onto the bus in response to  
17 the first read request,”

18 is indefinite. It is unclear whether the number of clock cycles of the first and second clocks indicated  
19 by the code run concurrently, sequentially, or in some other relationship before data is output. The  
20 time after which data is output is thus undefined in any case in which the first and second external  
21 clocks have different frequencies or phases or both. Claim 18 is thus invalid for failing to particularly  
22 point out and distinctly claim any subject matter regarded as an invention.

23  
24 2. Claim 35 Of The '105 Patent – 35 U.S.C. §112(2)

25 Claim 35 of the '105 patent contains no antecedent basis for the term “first and second internal  
26 clock generation circuitry.” The other portions of the claim, and the claims on which claim 35 are  
27 dependent refer only to “internal clock generation circuitry” and include no reference to “first and  
28 second clock generation circuitry. Claim 35 is thus invalid for failing to particularly point out and

1 distinctly claim any subject matter regarded as an invention.

2  
3 3. Claim 19 Of The '105 Patent – 35 U.S.C. §112(2)

4 Claim 19 of the '105 patent refers to "the plurality of output drivers." The other portions of  
5 this claim and the claims upon which claim 19 is dependent make no reference to either output drivers  
6 or a plurality of output drivers. Claim 19 is thus invalid for failing to particularly point out and  
7 distinctly claim any subject matter regarded as an invention.

8  
9 C. **INVALIDITY BASED UPON RAMBUS' CLAIM CONSTRUCTION – 35 U.S.C. §112(1)**

10 In addition, Hynix contends that, if certain terms in the representative claims of the patents in  
11 suit are construed as apparently contended by Rambus, then the following of the representative claims  
12 are invalid for failure to meet the written description requirement of 35 U.S.C. § 112, paragraph 1:

13 '105 patent, claims 31, 34, 35, 40;  
14 '263 patent, claims 1, 2, 3, 4;  
15 '443 patent, claims 1, 3, 6;  
16 '214 patent, claims 15, 18, 25, 26;  
17 '918 patent, claims 18, 24, 33.;  
18 '195 patent, claims 11, 17, 18, 19;  
19 '365 patent, claims 1, 4; and  
20 '592 patent, claims 35, 38.  
21 '152 patent, claims 12, 14, 16;

22 The basis of these contentions is outlined below.

23 1. "Bus" – 35 U.S.C. §112(1)

24 Rambus appears to construe the term "bus" as "a plurality of signal lines between two  
25 electrically communicating devices" or another similarly broad construction. If the term "bus" is  
26 interpreted in this or a similar manner, at least the following representative claims of the patents in  
27 suit are invalid for failure to meet the written description requirement of 35 U.S.C. § 112, paragraph  
28 1:

'105 patent, claims 31, 34, 35, 40;  
'263 patent, claims 2, 3;  
'443 patent, claims 1, 3, 6;  
'214 patent, claims 15, 18, 25, 26;  
'918 patent, claims 18, 24, 33;

1 '195 patent, claims 11, 17, 18, 19;  
2 '365 patent, claims 1, 4; and  
3 '592 patent, claims 35, 38.

4 The specifications of the patents in suit, which are substantially identical for the purposes of  
5 these contentions, expressly limit the type and characteristics of the bus recited in these claims. The  
6 following are exemplary statements from the specifications of the patents in suit expressing such  
7 limitations (column and line references are to the specification of the '152 patent; the corresponding  
8 references to the specifications of the other patents in suit vary slightly):

9 **The present invention includes a memory subsystem comprising at**  
10 **least two semiconductor devices, including at least one memory**  
11 **device, connected in parallel to a bus, where the bus includes a**  
12 **plurality of bus lines for carrying substantially all address, data and**  
13 **control information needed by said memory devices, where the control**  
14 **information includes device-select information and the bus has**  
15 **substantially fewer bus lines than the number of bits in a single address,**  
16 **and the bus carries device-select information without the need for**  
17 **separate device-select lines connected directly to individual devices.**  
18 **['152; 3:44-54]**

19 \* \* \*

20 Referring to FIG. 2, a standard DRAM 13, 14, ROM (or SRAM) 12,  
21 microprocessor CPU 11, I/O device, disk controller or other special  
22 purpose device such as a high speed switch is modified to use a wholly  
23 bus-based interface rather than the prior art combination of point-  
24 to-point and bus-based wiring used with conventional versions of  
25 these devices. The new bus includes clock signals, power and  
26 multiplexed address, data and control signals. In a preferred  
27 implementation, 8 bus data lines and an AddressValid bus line carry  
28 address, data and control information for memory addresses up to 40 bits  
wide. Persons skilled in the art will recognize that 16 bus data lines or  
other numbers of bus data lines can be used to implement the teaching of  
this invention. **The new bus is used to connect elements such as**  
**memory, peripheral, switch and processing units. ['152, col. 3:55 -**  
**4:3]**

**In the system of this invention, DRAMs and other devices receive**  
**address and control information over the bus and transmit or receive**  
**requested data over the same bus. Each memory device contains only a**  
**single bus interface with no other signal pins. ['152; 4:3-8]**

\* \*

1       **The present invention is designed to provide a high speed,**  
2       **multiplexed bus for communication between processing devices and**  
3       **memory devices and to provide devices adapted for use in the bus**  
4       **system. The invention can also be used to connect processing devices and**  
5       **other devices, such as I/O interfaces or disk controllers, with or without**  
6       **memory devices on the bus. The bus consists of a relatively small**  
7       **number of lines connected in parallel to each device on the bus. The**  
8       **bus carries substantially all address, data and control information**  
9       **needed by devices for communication with other devices on the bus.**  
10       **In many systems using the present invention, the bus carries almost every**  
11       **signal between every device in the entire system. There is no need for**  
12       **separate device-select lines since device-select information for each**  
13       **device on the bus is carried over the bus. There is no need for separate**  
14       **address and data lines because address and data information can be sent**  
15       **over the same lines. ['152; 5:24-40]**

16       \* \* \*

17       **All information sent between master devices and slave devices is sent**  
18       **over the external bus, which, for example, may be 8 bits wide. This is**  
19       **accomplished by defining a protocol whereby a master device, such as a**  
20       **microprocessor, seizes exclusive control of the external bus (i.e.,**  
21       **becomes the bus master) and initiates a bus transaction by sending a**  
22       **request packet (a sequence of bytes comprising address and control**  
23       **information) to one or more slave devices on the bus. An address can**  
24       **consist of 16 to 40 or more bits according to the teachings of this**  
25       **invention. Each slave on the bus must decode the request packet to see if**  
26       **that slave needs to respond to the packet. ['152; 6:47-58]**

27       \* \* \*

28       **In the bus-based system of this invention, a mechanism is provided to**  
29       **give each device on the bus a unique device identifier (device ID) after**  
30       **power-up or under other conditions as desired or needed by the system. A**  
31       **master can then use this device ID to access a specific device, particularly**  
32       **to set or modify registers 170 of the specified device, including the**  
33       **control and address registers. In the preferred embodiment, ... ['152;**  
34       **14:44-51]**

35       \* \* \*

36       **The bus architecture of this invention makes possible an innovative 3-**  
37       **D packaging technology. By using a narrow, multiplexed (time-shared)**  
38       **bus, the pin count for an arbitrarily large memory device can be kept**  
39       **quite small--on the order of 20 pins. Moreover, this pin count can be kept**  
40       **constant from one generation of DRAM density to the next. ['152;**  
41       **17:23-28]**



The method of this invention does not require changing the overall method used for column access, but does change implementation details. Many of these details have been implemented selectively in certain fast memory devices, but **never in conjunction with the bus architecture of this invention.** ['152; 23:57-62]

The stated objects of the invention are in full accord with these limiting statements, as is the characterization of the prior art contained in the specifications of all of the patents in suit.

The '152 patent, and all of the patents in suit, clearly describe the invention as limited to a system, method, or device(s) incorporating, operable with, or interfacing to only a narrow bus with a multiplexed set of signal lines used to transmit address, data, and control information. In view of the disclosure of each of the patents in suit, one of skill in the art would understand the specification accordingly. To the extent the term "bus" is interpreted more broadly, the above claims are invalid for failure to comply with the written description requirement of 35 U.S.C. § 112, paragraph 1.

**2. The Term “Synchronized Memory Device” – 35 U.S.C. §112(1)**

Rambus appears to construe the term "synchronous memory device" as "a memory device in which address, data, and control signals are recognized and/or transferred in response to an external clock" or another similar definition. If the term "synchronous memory device" is interpreted in this or a similar manner, at least the following representative claims of the patents in suit are invalid for failure to meet the written description requirement of 35 U.S.C. § 112, paragraph 1:

'105 patent, claims 31, 34, 35, 40;  
'152 patent, claims 12, 14, 16;  
'195 patent, claims 11, 17, 18, 19;  
'214 patent, claims 15, 18, 25, 26;  
'263 patent, claims 1 - 4;  
'365 patent, claims 1, 4;  
'443 patent, claims 1, 3, 6;  
'592 patent, claims 35, 38; and  
'918 patent, claims 18, 24, 33.

The specifications of the patents in suit, which are substantially identical for the purposes of these contentions, expressly limit the type and characteristics of the “synchronous memory device” recited in these claims. The following are exemplary statements from the specifications of the patents



1 in suit expressing such limitations (column and line references are to the specification of the '152  
2 patent; the corresponding references to the specifications of the other patents in suit vary slightly):

3  
4 **The present invention includes a memory subsystem comprising at**  
5 **least two semiconductor devices, including at least one memory**  
6 **device, connected in parallel to a bus, where the bus includes a**  
7 **plurality of bus lines for carrying substantially all address, data and**  
8 **control information needed by said memory devices, where the control**  
9 **information includes device-select information and the bus has**  
10 **substantially fewer bus lines than the number of bits in a single address,**  
11 **and the bus carries device-select information without the need for**  
12 **separate device-select lines connected directly to individual devices.**  
13 **['152; 3:44-54]**

14 \* \* \*

15 **In the system of this invention, DRAMs and other devices receive**  
16 **address and control information over the bus and transmit or receive**  
17 **requested data over the same bus. Each memory device contains only**  
18 **a single bus interface with no other signal pins. ['152; 4:3-8]**

19 \* \* \*

20 **The present invention is designed to provide a high speed,**  
21 **multiplexed bus for communication between processing devices and**  
22 **memory devices and to provide devices adapted for use in the bus**  
23 **system. The invention can also be used to connect processing devices and**  
24 **other devices, such as I/O interfaces or disk controllers, with or without**  
25 **memory devices on the bus. The bus consists of a relatively small**  
26 **number of lines connected in parallel to each device on the bus. The**  
27 **bus carries substantially all address, data and control information needed**  
28 **by devices for communication with other devices on the bus. In many**  
**systems using the present invention, the bus carries almost every signal**  
**between every device in the entire system. There is no need for separate**  
**device-select lines since device-select information for each device on the**  
**bus is carried over the bus. There is no need for separate address and data**  
**lines because address and data information can be sent over the same**  
**lines. ['152; 5:24-40]**

\* \* \*

All information sent between master devices and slave devices is sent  
over the external bus, which, for example, may be 8 bits wide. This is  
accomplished by defining a protocol whereby a master device, such as a  
microprocessor, seizes exclusive control of the external bus (i.e.,  
becomes the bus master) and initiates a bus transaction by sending a  
request packet (a sequence of bytes comprising address and control

1 information) to one or more slave devices on the bus. An address can  
2 consist of 16 to 40 or more bits according to the teachings of this  
3 invention. Each slave on the bus must decode the request packet to see if  
that slave needs to respond to the packet. ['152; 6:47-58]

4 \* \* \*

5 In the bus-based system of this invention, a mechanism is provided to  
6 give each device on the bus a unique device identifier (device ID) after  
7 power-up or under other conditions as desired or needed by the system. A  
8 master can then use this device ID to access a specific device, particularly  
to set or modify registers 170 of the specified device, including the  
9 control and address registers. In the preferred embodiment, ... ['152;  
14:44-51]

10 The stated objects of the invention are in full accord with these limiting statements, as is the  
11 characterization of the prior art contained in the specifications of all of the patents in suit.

12 The '152 patent, and all of the patents in suit, clearly describe the invention as limited to a  
13 system, method, or device(s) incorporating, operable with, or interfacing to only a narrow bus with a  
14 multiplexed set of signal lines used to transmit address, data, and control information. In view of the  
15 disclosure of each of the patents in suit, one of skill in the art would understand the specification  
16 accordingly, and understand that a "synchronous memory device" is limited to a memory device with  
17 an interface to the narrow, multiplexed bus disclosed in the specifications. To the extent the term  
18 "synchronous memory device" is interpreted more broadly, the above claims are invalid for failure to  
19 comply with the written description requirement of 35 U.S.C. § 112, paragraph 1.

20  
21 3. "Read Request" – 35 U.S.C. §112(1)

22 Rambus appears to construe the term "read request" as "a message, instruction, or command  
23 received by a memory device directing, instructing, or commanding the memory device to output  
24 data" or another similar definition, without regard to whether the message, instruction or command  
25 occurs over a plurality of clock cycles or whether it provides a sufficient address to allow the memory  
26 device to unambiguously determine which data are to be read out in response. If the term "read  
27 request" is interpreted in this or a similar manner, at least the following representative claims of the  
28 patents in suit are invalid for failure to meet the written description requirement of 35 U.S.C. § 112,  
paragraph 1:

1 '263 patent, claims 1, 2, 3, 4;  
2 '443 patent, claims 1, 3, 6;  
3 '214 patent, claims 15, 18, 25, 26;  
4 '918 patent, claims 18, 24, 33;  
5 '195 patent, claims 11, 17, 18, 19;  
6 '365 patent, claims 1, 4; and  
7 '592 patent, claims 35, 38.

8 All embodiments (actually, the single embodiment) disclosed in the patents in suit (all of  
9 which have the same disclosure for present purposes) utilize "request packets" to both transmit  
10 instructions or commands (and data, for memory array write operations) to a memory device and  
11 receive responses from the memory device. The use of such packets is crucial to the operation of the  
12 disclosed narrow, multiplexed bus and the attainment of the stated advantages of systems constructed  
13 using this bus. Even assuming that a read request need not necessarily take the form of a packet per  
14 se, one of ordinary skill would recognize from these and other aspects of the disclosure of the patents  
15 in suit that, at a minimum, a read request must include control information designating at least the type  
16 of operation to be performed and sufficient address information to allow a memory device to respond  
17 to the request by reading out unambiguously specified data. One of ordinary skill would also  
18 recognize from the disclosure that the read request must be transmitted over the narrow, multiplexed  
19 bus of the invention over multiple clock cycles in order to attain the stated advantages and objective of  
20 the disclosed embodiment. To the extent that the above claims are or can be interpreted more broadly  
21 than this, the above claims are all invalid for failure to satisfy the written description requirement of  
22 35 U.S.C. § 112, paragraph 1.

23 4. "External Clocks" – 35 U.S.C. §112(1)

24 Rambus appears to construe the each of the terms "first external clock" and "second external  
25 clock" as a clock signal external to the memory device" or another similar definition. If the terms  
26 "first external clock" and "second external clock" are interpreted in this or a similar manner, at least  
27 the following representative claims of the patents in suit are invalid for failure to meet the written  
28 description requirement of 35 U.S.C. § 112, paragraph 1:

29 '105 patent, claim 40;  
30 '214 patent, claims 15, 18, 25, 26;  
31 '365 patent, claims 1, 4; and  
32 '152 patent, claim 14.

1       The Rambus patents in suit, all of which have substantially the same specification for present  
2 purposes, disclose only one clocking scheme, in which a single clock signal is routed to each device  
3 on the bus twice – on an outgoing path and a return path. Each device on the bus samples the clock  
4 signal on both paths; these two samplings are the only clock signals disclosed that could be considered  
5 first and second external clocks. Due to flight time delays, the clock signals sampled each individual  
6 device are out of phase, the mid-point between the edges of the two sampled clock signals occur at the  
7 same time for all devices on the bus. In this way, each device determines the “system” clock, which is  
8 the same for all devices on the bus. The use of this “system” clock signal, represented by the mid-  
9 points of the early and late clock signals is crucial to the proper operation all disclosed or suggested  
10 variants of the single disclosed embodiment of the invention. One of ordinary skill would understand  
11 that this disclosed clocking system requires that the first and second external clock signals each  
12 individually provide different timing information to any particular device, such that a common  
13 “system” clock can be determined. To the extent that the above claims are or can be interpreted not  
14 to require that the first and second clocks each provide different timing information to any particular  
15 device, the above claims are invalid for failure to satisfy the written description requirements of 35  
16 U.S.C. § 112, paragraph 1.

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1 **VI. DOCUMENT PRODUCTION—Patent Local Rule 3-4**

2 In accord with Patent Local Rule 3-4(a), Hynix previously produced technical documents  
3 sufficient to show the operation of the accused Hynix products.

4 In accord with Patent Local Rule 3-4(b), Hynix has previously produced most of the prior art  
5 references identified by Hynix pursuant to Patent Local Rule 3-3(a). The bates numbers for the  
6 previously produced documents are identified in Exhibit A. Hynix is also doing copies of the  
7 following references:

<u>Exhibit</u>	<u>Prior Art Reference</u>
B	Referenced Document Chart
C	1986-87 Data Book Memories (Fujitsu)
D	1986 Bipolar/MOS Memories Data Book (Advanced Micro Devices)
E	1987 "A-32-kbit Variable-Length Shift Register for Digital Audio Application," Marcel J.M. Pelgrom, et al.

15 DATED: October 1, 2001

Respectfully submitted,

17 By:   
18 \_\_\_\_\_

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# TABLE OF CONTENTS

## TAB NO.

### A Referenced Documents Chart

1	Siemens PIP
2	SCI 1
3	Fischer
4	Bajwa
5	Yamaguchi
6	Saccardi
7	Kumagai
8	Gigabit Logic
9	Watanabe
10	Redwine
11	Aichelmann
12	Yoshimoto
13	Tam
14	Ohta
15	Chin
16	Penzel
17	Ong
18	Ebbers
19	Kanupoulus
20	Taguchi
21	Miller
22	Novak



**TAB NO.**

<b>23</b>	Ono
<b>24</b>	Pelgrom (9/86)
<b>25</b>	Pelgrom (6/87)
<b>26</b>	Higuchi
<b>27</b>	Ohno
<b>28</b>	Iqbal
<b>29</b>	SCI 2
<b>B</b>	1986-87 Data Book Memories (Fujitsu)
<b>C</b>	1986 Bipolar/MOS Memories Data Book (Advanced Micro Devices)
<b>D</b>	1987 "A 32-kbit Variable-Length Shift Register for Digital Audio Application," Marcel J.M. Pelgrom, et al.

October 1, 2001

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PROOF OF SERVICE

I hereby certify and declare under penalty of perjury that the following statements are true and correct:

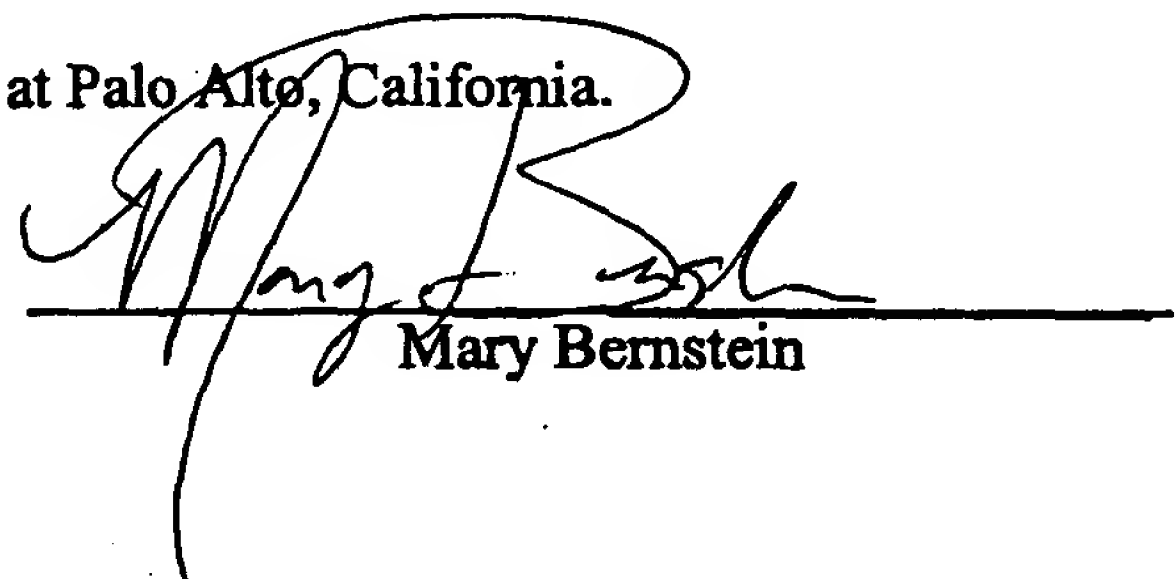
1. I am over the age of 18 years and am not a party to the within cause. My business address is 379 Lytton Avenue, Palo Alto, California 94301.

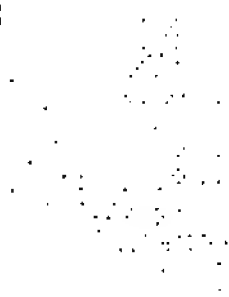
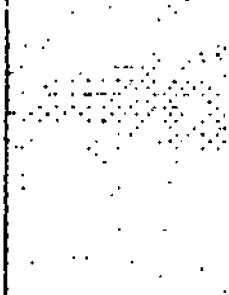
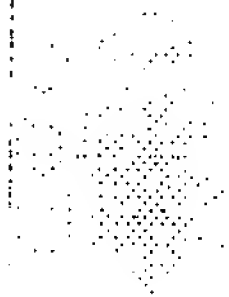
2. Following said practice, on October 1, 2001, I caused to be served by express courier a true copy of the attached document titled exactly **HYNIX' PRELIMINARY INVALIDITY CONTENTIONS** to the following:

Cecilia H. Gonzalez  
Joseph P. Lavelle  
Basil C. Culyba  
HOWREY SIMON ARNOLD & WHITE, LLP  
1299 Pennsylvania Avenue, N.W.  
Washington, D.C. 20004

Evangelina M. Almirantearena  
HOWREY SIMON ARNOLD & WHITE, LLP  
301 Ravenswood Avenue  
Menlo Park, CA 94025

EXECUTED this 1st day of October, 2001, at Palo Alto, California.

  
Mary Bernstein



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<u>C'try of Origin / Patent No.</u>	<u>Inventor</u>	<u>Date of Issue</u>	<u>Referenced As</u>	<u>Title</u>	<u>Begin Bates</u>	<u>End Bates</u>
US 4,998,262	Hans A.M. Wiggers	03/05/1991	Wiggers (US262)	Generation Of Topology Independent Reference Signals	HR905_010509	HR905_010515
US 5,184,027	Masuda, Norboru; Kamikawai, Ryotaro; Yagyu, Masayoshi; Yamamoto, Masakazu	02/02/1993	Masuda	Clock Signal Supply System	HR905_010647	HR905_010705
US 4,870,562	Kimoto, Manabu; Nishiguchi, Yukihiko	09/26/1989		Microcomputer Capable Of Accessing Internal Memory At A Desired Variable Access Time	HR905_010756	HR905_010769
US 4,754,433	Daeje Chin; Wei Hwang; Nicky C. Lu	06/28/1988	Chin	Dynamic Ram Having Multiplexed Twin I/O Line Pairs	HR905_010859	HR905_010867
US 4,726,021	Aoki, Masakazu	02/16/1988		Semiconductor Memory Having Error Correcting Means	HR905_010883	HR905_010913
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US 4,928,265	Higuchi, Hisayuki; Homma, Noriyuki; Suzuki, Makota; Tachibana, Suguru	05/22/1990	Higuchi	Semiconductor Integrated Circuit	HR905_010970	HR905_010990
US 4,849,937	Masahiko Yoshimoto	07/18/1989	Yoshimoto (US937)	Digital Delay Unit with Interleaved Memory	HR905_010991	HR905_011004
US 4,330,852	Donald J. Redwine; Lionel S. White, Jr.; G.R. Mohan Rao	05/18/1982	Redwine	Semiconductor Read/Write Memory Array Having Serial Access	HR905_011345	HR905_011354
JP 64-29951	Takashi Kumagai	01/31/1989	Kumagai (JP951)	Storage System (translation included)	HR905_011487	HR905_011498
JP 61-72350	Hashimoto, Shigeru; Nishimura, Naoyuki	04/14/1986		Data Transfer Control System (translation included)	HR905_011499	HR905_011514

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<u>C'try of Origin / Patent No.</u>	<u>Inventor</u>	<u>Date of Issue</u>	<u>Referenced As</u>	<u>Title</u>	<u>Begin Bates</u>	<u>End Bates</u>
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JP 60-80193	Jun Hasegawa, Kazuhiko Honma	05/08/1985		Memory System (translation included)	HR905_011533	HR905_011544
JP 56-82961	Kunihiko Kawamasa	07/07/1981		Memory Control Method (translation included)	HR905_011545	HR905_011554
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US 4,785,394	Fischer, Michael A.	11/15/1988	Fischer	Fair Arbitration Technique for a Split Transaction Bus in a Multiprocessor Computer System	HR905_021423	HR905_021466
US 4,562,435	McDonough, Kevin C; Hughes, John M; Laffitte, David	12/31/1985		Video Display System Using Serial/Parallel Access Memories	HR905_022539	HR905_022558
US 5,361,277	Wayne D. Grover	11/01/1994	Grover (US277)	Method And Apparatus For Clock Distribution And For Distributed Clock Synchronization	HR905_023452	HR905_023479
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Ramakrishna B. Rau	IEEE	01/06/1989	Rau (Cydra 5)	The Cydra-5 Departmental Supercomputer Design Philosophies, Decisions, and Trade-offs	HR905_067546	HR905_067567
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Bursky, Dave	Electronic Design, pp. 145-147	02/22/1990		Advanced Self-Timed SRAM Pares Access Time to 5 ns	HR905_010435	HR905_010440
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Iqbal, Mohammad Shakaib	Electronic Design, pp. 93-96	08/25/1988		Internally Timed RAMs Build Fast Writable Control Stores	HR905_010486	HR905_010490
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M. Pelgrom et al.	IEEE Journal of Solid-State Circuits, Vol. SC-22, No. 3, pp. 415-422	06/00/1987	Pelgrom 6/87	A 32-kbit Variable-Length Shift Register for Digital Audio Application	HR905_106285	HR905_106292
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